

#### STATE OF WASHINGTON

#### DEPARTMENT OF LABOR AND INDUSTRIES

P.O. BOX 44326 • Olympia, Washington 98504-4326

Dear vocational provider or firm manager,

Thank you for your interest in helping Washington's injured workers heal and return to work.

Use this application to seek a new Department of Labor and Industries (L&I) provider number for:

- Vocational firm
- Vocational rehabilitation counselor (VRC)
- Vocational rehabilitation counselor intern

Please review the requirements and instructions for submitting applications on pages 2 and 3.

Upon approval, we will assign applicants important identifying numbers. You will need to use these number when communicating with us. These numbers are:

- L&I provider number used when billing L&I
  - o New firms will receive an L&I group provider number.
  - New vocational providers associated with a firm will receive an individual provider number.
- VRC ID number the unique identifier for you as a VRC or intern new to Washington State, regardless of the firm you work for

To learn more about billing, how to notify us of changes, and more, visit Working With L&I.

If you have questions, please call 360-902-6756 or email PSRS@Lni.wa.gov.

Thank you,

L&I Private Sector Rehabilitation Services (PSRS)

# **Application Instructions**

#### What you need before you apply

All new firms must:

- Hold a current Washington business license.
- Possess proof of insurance.
- Have opened a workers' compensation account with L&I if hiring employees. For more information, see L&I's web page <a href="How to Get a Workers">How to Get a Workers</a>' Compensation Account.
- Ensure your firm manager is registered with us as a VRC (if they aren't, you can take care of this while you are applying as a new firm).

New firms that want referrals to provide services on State Fund claims must also:

- Identify your firm's quality assurance (QA) representative. The firm manager can choose to serve in this role or appoint a qualified, credentialed VRC. The QA representative is responsible for administering your QA plan and attending QA discussions with L&I. For more information, see the Vocational Firm Quality Assurance Plan.
- Attend L&I's orientation session along with your QA representative. To get started, email \( \forall \)\( \text{VocRecoveryProject@Lni.wa.gov} \) to schedule the orientation session.

#### **Application requirements for new firms**

| <u>All</u> new firm applicants must submit  |
|---|
| ☐ Parts A, B, and C — Vocational Provider and Firm Application  |
| ☐ Part D — Vocational Provider and Firm Agreement   |
| ☐ Part E — (For firm manager) Request to Maintain or Deactivate Current Vocational Provider Number(s)     |
| ☐ Part F — Branches Where You and Your VRCs Will Work   |
| ☐ Part G — (For firm manager) Pertinent Vocational Work History   |
| ☐ Copy of vocational firm's IRS Form W-9  |
| ☐ Copy of firm manager's current vocational credential(s)   |
| ☐ Copy of Washington State business license with issue date and expiration date                           |
| Proof of insurance covering all individuals associated with the firm as required by WAC 296-19A-210(8)(b) |
| ☐ General liability   |
| <ul> <li>Automobile liability – Redact personal information except name and coverage dates</li> </ul>     |
| ☐ Errors and omissions – (usually part of "professional liability insurance")                             |
| ☐ Malpractice – (usually part of "professional liability insurance")                                      |
| ☐ Industrial insurance if required by Title 51 RCW  |
|   |
| New firms wanting to receive referrals from L&I must also submit  |
| The signature page of the <u>Vocational Firm Quality Assurance Plan</u> , signed by firm owner/manager    |
| The Vocational Recovery & Firm Quality Assurance Attestation Form, signed by firm owner/manager           |

Note: All firms wanting to receive referrals from L&I must submit the <u>Annual Vocational Firm</u> Agreement (F252-129-000) in December each year.

| Application requirements for new VRCs   |
|---|
| Firms submitting an application for a new VRC must include  |
| ☐ Parts A, B, and C — Vocational Provider and Firm Application  |
| ☐ Part D — Vocational Provider and Firm Agreement   |
| ☐ Part E — Request to Maintain or Deactivate Current Vocational Provider Number(s)                        |
| ☐ Part F — Branches Where You and Your VRCs Will Work   |
| ☐ Part G — Pertinent Vocational Work History  |
| A completed Vocational Intern Supervisor Expectations form, when requesting full VRC status for an intern |
| who will work State Fund referrals  |
| ☐ Copy of vocational firm's IRS Form W-9  |
| ☐ Copy of current vocational credential(s)  |
| Copy of VRC intern's personal auto liability insurance, if not covered by the firm.                       |
| Application requirements for new VRC interns  |
| Firms submitting an application for a new VRC intern must include   |
| ☐ Parts A, B, and C — Vocational Provider and Firm Application  |
| ☐ Part D — Vocational Provider and Firm Agreement   |
| ☐ Part E — Request to Maintain or Deactivate Current Vocational Provider Number(s)                        |
| ☐ Part H — Intern Supplemental Application  |
| ☐ Copy of vocational firm's IRS Form W-9  |
| Copy of VRC's personal auto liability insurance, if not covered by the firm.                              |

#### How to submit your application

- Visit <u>ProviderOne</u> to complete the online enrollment process.
- For step-by-step instructions, see our enrollment guides:
  - o Firm Enrollment Guide
  - o Servicing Provider Guide (VRC or intern)
- On the final step in ProviderOne, upload this completed application and all required documents.

Note: The <u>Washington State Health Care Authority</u> will send approved new firms a ProviderOne ID, which is used to access and manage your online account. They will also send the firm welcome letters for any newly approved VRCs and interns.



# Vocational Provider and Firm Application

| A. Application Type   |                            |                                    |                      |
|---|----------------------------|------------------------------------|----------------------|
| I am applying as a (select all that apply):   |                            |                                    |                      |
| ☐ Firm ☐ Manager ☐ VR   | RC Forensic                | ☐ Intern supervisor                | ☐ Intern             |
| <b>Note:</b> To apply as an <b>intern supervisor</b> <u>Application</u> (F280-072-000). | , you must also submit     | t a completed <u>Vocational In</u> | tern Supervisor      |
| B. Firm Information   |                            |                                    |                      |
| 1. Business Information   |                            |                                    |                      |
| Tax Payer Identification Number (EIN)   |                            | Firm Provide                       | er Number            |
| Legal Business Name (Firm name when bil   | ling L&I)                  | Firm Manag                         | er's Provider Number |
| Doing Business As (DBA) Name (if applical   | ole)                       |                                    |                      |
| Firm Manager's Name (must be a currently  | credentialed VRC)          | Firm's Phon                        | e Number             |
| Contact Person's Name (alternate contact t  | for application questions) |                                    |                      |
| Quality Assurance Representative's Name   |                            |                                    |                      |
| 2. Physical Location Address — fir  | m's headquarters           |                                    |                      |
| Street Address (may not be a PO Box)  | ·                          |                                    |                      |
| City  | State                      | Zip Co                             | ode                  |
| Phone Number  | Fax No                     | umber                              |                      |
| 3. Payment Address — where the f  | irm's checks and re        | emittance advices shou             | ıld go               |
| ☐ Same as Location Address  |                            |                                    | J                    |
| Address   |                            |                                    |                      |
| City  | State                      | Zip Co                             | ode                  |
| Phone Number  | Fax No                     | umber                              |                      |

| 4 | l. 1099 Address - | - where we'll ma | ail your IRS | 6 Form 109 | 99 at year's | end: Infor | mation mເ | ıst match |
|---|-------------------|------------------|--------------|------------|--------------|------------|-----------|-----------|
| ٧ | our IRS Form W    | -9               | -            |            | -            |            |           |           |

| Address (as shown on your income tax return) | Legal name assoc     | iated with Tax ID (per IRS Form W-9) |
|--|----------------------|--------------------------------------|
| City   | State                | ZIP                                  |
| 5. Correspondence Address — where the f      | irm's mail should go | )                                    |
| ☐ Same as Location Address                   | ☐ Same as Pay        | ment Address                         |
| Address                                      |                      |                                      |
| City   | State                | Zip Code                             |
| Phone Number                                 | Fax Number           |                                      |

# **C. Individual Vocational Provider Information**

### 1. Individual Information

| Provider's Name (Last, First, Middle Initial)  |                                 | Exis               | ting L&I VRC ID Number (if applicable)       |  |
|--|---------------------------------|--------------------|--|--|
| Date of Birth — we need your date of b   | irth to initiate a background c | heck. See <u>V</u> | /AC 296-19A-268.                             |  |
| Individual Provider's Referral Contact F   | Phone                           |                    |  |  |
| 2. Primary Branch Information  |                                 |                    |  |  |
| (The firm's branch office you will us<br>Address   | e as your starting point for    | billing trave      | e/)  |  |
| City   | State                           |                    | Zip Code                                     |  |
| Individual Provider's Primary Branch P   | hone                            | Individual p       | provider's email address with this firm      |  |
| 3. Referrals & Certifications (fo  | r VRCs only)                    |                    |  |  |
| I will accept referrals for:   |                                 |                    |  |  |
| ☐ State Fund ☐ Self-Insured  | ☐ Both ☐ Neith                  | er <b>NOT</b>      | <b>E</b> : Interns cannot receive referrals. |  |
| Credentials(s) you have - attach copie   | es of certificate(s)            |                    |  |  |
| ☐ CRC  | ☐ CRC ☐ CDMS ☐ ABVE             |                    |  |  |
| Number of years of industrial insurance  | e experience. Complete Part (   | G Pertinent \      | ocational Work History.                      |  |
| If you are applying for forensic status, e <b>Washington</b> industrially injured or ill w |                                 |                    |  |  |
| <b>4. Intern Information</b> Interns must provide supervisor's na                          | me below <b>and</b> complete P  | art H Intern       | Supplemental Application.                    |  |
| Supervisor's Name  | Supervisor's VRC ID Numb        |                    | Supervisor's Provider Number                 |  |
| 5. Automobile Liability  |                                 |                    |  |  |
| You or your firm must provide proof coverage dates.  | of coverage. Be sure to re      | dact perso         | nal information except name and              |  |
| Provided by individual (Send insurance ID card with personal information redacted.)        |                                 |                    | mation redacted.)                            |  |
| Provided by firm (Already on file with firm's Annual Vocational Firm Agreement.)           |                                 |                    |  |  |
|  |                                 |                    |  |  |

#### D. Vocational Provider and Firm Agreement

| The v | ocational provider and firm owner or manager agree: |                                     |
|-------|---|-------------------------------------|
| l,    |   | agree to abide by the terms of this |
| •     | Individual vocational provider's name               |                                     |

agreement, and by all applicable federal and Washington State statutes, rules, and polices. I understand and agree to the following:

1. Service. I understand that I am responsible for the quality of service that I provide. I further agree that I will provide services that comply with Washington law and Department of Labor and Industries (L&I) rules and policies, including but not limited to: <u>Title 51 RCW (Industrial Insurance Act)</u>, <u>WAC 296-19A</u>, and L&I's policies, including its fee schedules and billing and payment policies. I further agree that I will provide quality service that is respectful, equitable and responsive to diverse cultural health beliefs, practices, preferred languages, and communication needs in accordance with the National Standards for Culturally and Linguistically Appropriate Services (<u>CLAS</u>) in Health and Health Care. Providers are required to ensure spoken and sign language access according to <u>Title VI of the Civil Rights Acts of 1964</u> and the <u>Americans with Disabilities Act (ADA)</u>. Interpreting for an injured worker or a crime victim is covered by L&I and does not require prior authorization.

Title VI of the Civil Rights Act of 1964 prohibits discrimination on the basis of race, color, or national origin in any program or activity that receives federal funds or other federal financial assistance. This includes discrimination based on limited English proficiency (LEP) persons. As a result, recipients and sub-recipients of federal financial assistance are responsible for taking reasonable steps to ensure meaningful access by LEP persons to the recipients' and sub-recipients' programs or activities, including the use of an interpreter. Failure to do so constitutes illegal discrimination and is a violation of an individual's civil rights. Since L&I is the recipient of federal funding, vocational providers and others whom L&I pays are sub-recipients.

- 2. **Billing.** I will bill according to L&I's billing rules and policies and understand that payments will be made according to L&I's <u>Medical Aid Rules and Fees Schedules (MARFS)</u> which were in effect at the time the service was rendered.
- 3. Payment. I agree to accept payment from L&I, Crime Victims Compensation Program, or the self-insured employer as sole and complete payment for covered services in accordance with <u>WAC 296-20-010</u>. I specifically agree not to bill the worker for services covered by the industrial insurance program or the difference between the billed and paid charges.
- 4. **Overpayment.** If I receive payment from L&I or from a self-insured employer in error or in excess of the amount properly due, I will promptly notify L&I and return such excess amounts to L&I or the self-insured employer.
- 5. **Underpayment.** If I believe additional funds are due, I will submit a <u>Provider's Request for Adjustment</u> (F245-183-000) within the timelines specified in the rule or on the remittance advice.
- 6. Records and audits. I agree to complete and maintain all records to fully justify and disclose the extent of the services or items furnished and bills submitted. I will maintain these records for a minimum of five years. I understand and agree that L&I may audit, review, or investigate services provided under this agreement. I understand that should I fail to retain and maintain records, or to provide access to L&I, that L&I may recover payments not adequately documented or take other action.
- 7. Notify L&I of changes. I agree to notify L&I in writing within 14 days of any change. This includes but is not limited to: a change in contact information; my staff's or my own provider status, (for example, certification, disciplinary action, limitation to privileges); federal tax information changes; ownership or incorporation; and location, payment, or correspondence addresses. I can find L&I's qualification standards in WAC 296-19A-210.

- 8. Background checks. I understand that vocational providers are subject to periodic criminal background checks at least once every two years, in addition to satisfying a background check before receiving a provider number, pursuant to WAC 296-19A-268.
- 9. **New firms.** I understand that all vocational firms must comply with all business requirements outlined in WAC 296-19A-210, including maintaining:
  - a. A unique federal tax identification number (federal Employer Identification Number or Social Security Number) and, if hiring employees or opting for coverage as a self-employed sole proprietor, a Unified Business Identifier and industrial insurance account in good standing.
  - b. General liability insurance, automobile liability insurance, errors and omissions insurance, malpractice insurance, and industrial insurance if required by Title 51 RCW.
  - c. Services and facilities that provide injured workers a private and professionally suitable location in which to discuss vocational rehabilitation services issues.
  - d. Telephone-answering capability during regular business hours, Monday through Friday.
  - e. Access to equipment that can utilize L&I's remote access system for transmitting vocational referrals.
- 10. Firms' eligibility to receive referrals from L&I. I understand that, to be eligible to receive referrals from L&I to provide services on State Fund claims, the firm owner or manager must:
  - a. Attend L&I's orientation session.
  - b. Complete, sign and submit this Vocational Provider and Firm Agreement, including all required documentation. Note: This form represents the initial Annual Vocational Firm Agreement in 10b.
  - c. Submit an updated Annual Vocational Firm Agreement (F252-129-000) annually.
  - d. Review and sign L&I's Vocational Firm Quality Assurance Plan.
  - e. Fulfill 9a, 9c and 9d (in new firm section above) in every service location in which the firm wishes to operate.

#### T

| The following documentation is required for new firms only.  |   |
|--|---|
|  |   |
| Proof of insurance covering all individuals associated with the firm as required by WAC 2  | <u>296-19A-210</u> (8)(b)                   |
| ☐ General liability  |   |
| Automobile liability – Redact personal information except name and coverage d  | lates                                       |
| ☐ Errors and omissions – (usually part of "professional liability insurance")  |   |
| ☐ Malpractice – (usually part of "professional liability insurance")   |   |
| Industrial insurance if required by <u>Title 51 RCW</u>  |   |
| L&I reserves the right to deny, revoke, suspend, or condition a provider's authorization to provider to injured workers in accordance with Washington laws and rules.  | provide vocational                          |
| Statement of Agreement   |   |
| I agree to abide by the terms of this agreement and by all applicable federal and Washingt and policies. I have enclosed with my application all required supporting information to est provider account, including a current copy of my credential(s) (if I am credentialed) and a Form W-9. I understand that issuance of a provider number by L&I does not guarantee I w vocational referrals from L&I. | tablish a vocational copy of the firm's IRS |
| My signature below indicates that I certify that my information provided is correct and that document and voluntarily agree to the terms. If I am signing as a firm owner or manager for applying, I attest that the individual is covered by the insurances required by WAC 296-19.   | or an individual who is                     |
| Vocational Provider's Signature Title  | Date  |
| Firm Owner or Manager's Signature Title  | Date  |

## E. Request to Maintain or Deactivate Current Vocational Provider Number(s)

#### To be completed by all individual vocational providers

- If you've never had an L&I VRC ID number, enter "N/A" in the L&I VRC ID Number box below.
- If you have a current L&I VRC ID number, enter it in the L&I VRC ID Number box below and complete the request to maintain or deactivate your provider number(s).

| Individual's Name                               |                               | L&I VRC ID Number      |
|---|-------------------------------|------------------------|
|   |                               |                        |
| Employer/Firm Name                              | Employer/Firm Provider Number | Your Provider Number   |
| I wish to:                                      |                               |                        |
| ☐ Maintain my provider number with this firm.   |                               |                        |
| ☐ Deactivate my provider number with this firm. |                               |                        |
| Last Date of Employment                         |                               |                        |
|   |                               |                        |
| Faralana/Fina Nama                              | Frankris Davids North         | Varia Danida a Norahan |
| Employer/Firm Name                              | Employer/Firm Provider Number | Your Provider Number   |
| I wish to:                                      |                               |                        |
| ☐ Maintain my provider number with this firm.   |                               |                        |
| Deactivate my provider number with this firm.   |                               |                        |
| Last Date of Employment                         |                               |                        |
|   |                               |                        |
|   |                               |                        |
| Employer/Firm Name                              | Employer/Firm Provider Number | Your Provider Number   |
|   |                               |                        |
| I wish to:                                      |                               |                        |
| ☐ Maintain my provider number with this firm.   |                               |                        |
| Deactivate my provider number with this firm.   |                               |                        |
| Last Date of Employment                         |                               |                        |

## F. Branches Where You and Your VRCs Will Be Assigned Referrals

#### To be completed by firm manager

- List all referral hub branches where you would like to receive referrals.
- Complete the address, city, phone number, and fax number (if applicable) for each referral hub branch.
- Note:
  - o Firms will have only one referral hub branch per service location.
  - o Individuals are limited to a maximum of three contiguous service locations.

| Name           |                        |                            | L&I VRC ID Number |
|----------------|------------------------|----------------------------|-------------------|
|                |                        |                            |                   |
|                |                        |                            |                   |
| Street Address |                        | City                       |                   |
|                |                        |                            |                   |
| Phone Number   |                        | Fax Number (if applicable) |                   |
|                |                        | ( дрр)                     |                   |
| 1 01 11 0-1-   | Ol Managa and Munichan |                            | Daniela Neuraleau |
| L&I Use Only   | SL Name and Number     |                            | Branch Number     |
|                |                        |                            |                   |
|                |                        |                            |                   |
| Street Address |                        | City                       |                   |
|                |                        |                            |                   |
| Phone Number   |                        | Fax Number (if applicable) |                   |
|                |                        | , , ,                      |                   |
| L&I Use Only   | SL Name and Number     |                            | Branch Number     |
| Lai Use Only   | OE Name and Namber     |                            | Branch Number     |
|                |                        |                            |                   |
| Street Address |                        | Cit.                       |                   |
| Street Address |                        | City                       |                   |
|                |                        |                            |                   |
| Phone Number   |                        | Fax Number (if applicable) |                   |
|                |                        |                            |                   |
| L&I Use Only   | SL Name and Number     |                            | Branch Number     |
|                |                        |                            |                   |
|                |                        |                            |                   |

**NOTE:** To change branches later, your firm can either email the information to <a href="PSRS@Lni.wa.gov">PSRS@Lni.wa.gov</a> or use the <a href="Firm">Firm</a> <a href="Vocational Provider Change Form">Vocational Provider Change Form</a> (F252-022-000) to submit changes.

# **G. Pertinent Vocational Work History**

To be completed by all individual vocational providers. Not necessary for intern applicants. List your experience with industrially injured workers only. If additional entries are needed, you may reprint this page.

| Name            |                              | L&I VI | RC ID Number |
|-----------------|------------------------------|--------|--------------|
| Employer Name   | Employment Dates (mm/yy – mi | m/yy)  | Total Months |
| Supervisor Name | Employer Phone Number        |        |              |
| Position Title  | Hours Worked Per Week        |        |              |
| Address         | City S                       | tate   | Zip Code     |
| Duties          |                              |        |              |
| Employer Name   | Employment Dates (mm/yy – mi | m/yy)  | Total Months |
| Supervisor Name | Employer Phone Number        |        |              |
| Position Title  | Hours Worked Per Week        |        |              |
| Address         | City                         | tate   | Zip Code     |
| Duties          |                              |        |              |
| Employer Name   | Employment Dates (mm/yy – mi | m/yy)  | Total Months |
| Supervisor Name | Employer Phone Number        |        |              |
| Position Title  | Hours Worked Per Week        |        |              |
| Address         | City S                       | tate   | Zip Code     |
| Duties          |                              |        |              |
| Employer Name   | Employment Dates (mm/yy – mi | m/yy)  | Total Months |
| Supervisor Name | Employer Phone Number        |        |              |
| Position Title  | Hours Worked Per Week        |        |              |
| Address         | City S                       | tate   | Zip Code     |
| Duties          |                              |        |              |

## H. Intern Supplemental Application

#### **Intern Applicant:**

Please review <u>WAC 296-19A-210(4)</u>.

- Based on this review, please complete the following section of this supplemental application and return this form to L&I along with the rest of your application.
- This page must be completed and signed by the applicant.

#### **Intern Application Statement**

- I am applying for a vocational provider internship.
- I understand that before I can request full VRC status, and if I will be working on referrals from L&I, I must have completed all the items outlined in the <u>Vocational Intern Supervisor Expectations</u>.
- I understand that I am responsible for knowing the amount of experience I need to satisfy the credentialing organization eligibility requirements.
- I understand that L&I will set an internship period based upon WAC 296-19A-210.
- I understand that L&I is not responsible or liable for guaranteeing that my experience will be acceptable to the credentialing organization.
- I understand that it is my responsibility to ensure that the experience I obtain will be acceptable to the credentialing organization.
- I understand that I must obtain one of the identified credentials within one year of the internship expiration date provided to me by L&I, or my provider number(s) will be terminated.

| Crede  | entialing that I intend to pursue: |                                  |                            |
|--|------------------------------------|----------------------------------|----------------------------|
|  | DMS                                | ☐ CRC                            | ☐ ABVE                     |
|  |                                    |                                  |                            |
| Highe  | st education level:                |                                  |                            |
| □Ва  | achelor's                          | ☐ Master's                       | ☐ Ph.D.                    |
|  | I have not been previously re      | egistered as an intern with L&I. |                            |
| ☐ I have been previously registered as an intern with L&I. (List VRC ID number and any previous provider numbers below.) |                                    |                                  | ID number and any previous |
|  |                                    |                                  |                            |
| ·  |                                    |                                  |                            |
|  |                                    |                                  |                            |
| Print I  | ntern Name                         | Intern Signature                 | Date                       |

#### Note:

- All intern applications will be approved for a maximum total of 60 months.
- Interns can apply for VRC status any time during this 60-month period when they meet the qualifications of <u>WAC 296-19A-210(1)</u>. An applicant who will be working referrals from L&I must have completed all the items outlined in the <u>Vocational Intern Supervisor Expectations</u>.
- WAC 296-19A-210(4b) requires interns to obtain one of the VRC credentials within one year of the internship expiration date provided by L&I.