

# WASHINGTON STATE LOGGER SAFETY INITIATIVE

Keeping Washington loggers safe.

## LSI Failed Third Party Audit Policy

### Initial LSI Program Enrollment Third Party Audit Process

***Scenario: A LSI logging company, currently at the LSI Tier 2 incentive (10%), has their independent third-party audit and does not receive a passing score.***

Once the employer has received written notice that they did not pass the audit, the following will occur:

- 1. Third-Party Auditor provides a written corrective action plan to the LSI logging company with a due date for completion.**
  - a. The LSI program and, if applicable, the Landowner will receive notification that the LSI logging company did not pass the audit and is currently working on a corrective action plan.
  - b. Completion of corrective action plan and participating in the re-audit is mandatory to remain in the LSI Program.
  
- 2. The LSI logging company works to address items in the corrective action plan and completes progress reports for the third-party auditor as outlined.**
  - a. Once the corrective action process is complete the third-party auditor will provide a timeframe and scope of re-audit.
  
- 3. Third-party auditor re-audits the logging company.**
  - a. If the company receives a passing score, they are eligible to move to Tier 3 (discount applied the following quarter).

If the company does not receive a passing score, they will be referred to the LSI Taskforce for possible termination from the program.

*All decisions and actions throughout the process will be provided to the employer in writing.*

### LSI Year Three Recertification Third Party Audit Process

***Scenario: A LSI logging company, currently certified at Tier 3 (20%) has their independent third-party recertification audit and does not receive a passing score.***

Once the employer has received written notice that they did not pass the audit, the following will occur:

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1. **The LSI logging company's Tier 3 (20%) discount will be reduced to Tier 2 (10%) for two full quarters (beginning the next quarter).**
  - a. The company will sign a new Tier 2 MOP with indicating the required actions, new timelines, and the new discount level (a new rate notice will be provided).
  - b. The new memorandum of participation will expire in 2 quarters or 6 months.

Within the two quarters timeframe the logging company must complete:

2. **The third party auditor will provide a written corrective action plan to the LSI logging company with a due date for completion.**
  - a. Completion of corrective action plan and participating in the re-audit is mandatory to remain in the LSI Program
3. **Pass, or at a minimum have scheduled, a third party re-audit before the 2 quarter MOP has expired.**
  - a. Once the corrective action process is complete the third-party auditor will provide a timeframe and scope of re-audit.
4. **Third-party auditor re-audits the logging company.**
  - a. If the company receives a passing score, they are eligible to move back to Tier 3 (discount applied the following quarter).
  - b. If the company does not receive a passing score, they will be referred to the LSI Taskforce for possible termination from the program.

Within one year of a re-audit after becoming recertified an enrollee must:

5. **Complete an additional LSI DOSH consultation visit totaling 2 visits within one year of recertification.**

*All decisions and actions throughout the process will be provided to the employer in writing.*

*Please note:* if during the recertification year a company experiences a triggering event of the Safety Review Panel Policy that company may not participate a third party recertification audit until the Safety Review Panel corrective action plan has been completed, verified and authorization for premium discount has been granted by L&I.